



COURSE OUTLINE

SCHOOL OF FINANCIAL SERVICES

COURSE NAME: Canadian Securities Course, Volume One
COURSE CODE: FIN 2001
CREDIT HOURS: 42
PREREQUISITES: NONE
COREQUISITES: NONE
PLAR ELIGIBLE: YES (X) NO ()
EFFECTIVE DATE: September 2009
PROFESSOR: _____ **OFFICE #:** _____
PHONE: 416-415-5000 **EMAIL:** _____

NOTE TO STUDENTS: Academic Departments at George Brown College will NOT retain historical copies of Course Outlines. We urge you to retain this Course Outline for your future reference.

FOR OFFICE USE ONLY	
ORIGINATOR: _____	_____
SIGNATURE	DATE
CHAIR: _____	August 2009
SIGNATURE	DATE
DATE OF REVISION: _____	

EQUITY STATEMENT: George Brown College values the talents and contributions of its students, staff and community partners and seeks to create a welcoming environment where equity, diversity and safety of all groups are fundamental. Language or activities which are inconsistent with this philosophy violate the College policy on the Prevention of Discrimination and Harassment and will not be tolerated. The commitment and cooperation of all students and staff are required to maintain this environment. Information and assistance are available through your Chair, Student Affairs, the Student Association or the Human Rights Advisor.

George Brown College is dedicated to providing equal access to students with disabilities. If you require academic accommodations visit the Disability Services Office or the Deaf and Hard of Hearing Services Office on your campus.

STUDENT RESPONSIBILITIES: Students should obtain a copy of the *Student Handbook* and refer to it for additional information regarding the grading system, withdrawals, exemptions, class assignments, missed tests and exams, supplemental privileges, and academic dishonesty. Students are required to apply themselves diligently to the course of study, and to prepare class and homework assignments as given. Past student performance shows a strong relationship between regular attendance and success.

COURSE DESCRIPTION:

This course is designed to prepare the student to pass the licensing examination for the Canadian Securities Course, Volume One, through the Canadian Securities Institute.

ESSENTIAL EMPLOYABILITY SKILLS:

As mandated by the Ministry of Training, Colleges and Universities essential employability skills (EES) will be addressed throughout all programs of study. Students will have the opportunity to **learn (L)** specific skills, to **practice (P)** these skills, and/or **be evaluated (E)** on the EES outcomes in a variety of courses. The EES include communication, numeracy, critical thinking & problem solving, information management, interpersonal and personal skills. The faculty for this course has indicated which of the EES are either Learned (L), Practiced (P) or Evaluated (E) in this course:

Skill	L	P	E	Skill	L	P	E
1. communicate clearly, concisely and correctly in the written, spoken and visual form that fulfills the purpose and meets the needs of the audience	x	x		7. locate, select, organize and document information using appropriate technology and information sources	x	x	x
2. respond to written, spoken or visual messages in a manner that ensures effective communication	x	x		8. show respect for the diverse opinions, values, belief systems, and contributions of others	x	x	
3. execute mathematical operations accurately	x	x	x	9. interact with others in groups or teams in ways that contribute to effective working relationships and the achievement of goals			
4. apply a systematic approach to solve problems	x	x	x	10. manage the use of time and other resources to complete projects	x	x	x
5. use a variety of thinking skills to anticipate and solve problems	x	x	x	11. take responsibility for one's own actions, decisions and consequences	x	x	x
6. analyze, evaluate, and apply relevant information from a variety of sources	x	x					

COURSE OUTCOMES:

Upon successful completion of this course the students will have reliably demonstrated the ability to:

1. Master the key concepts presented in the CSC Volume One Textbook
2. Pass the CSC Volume One licensing Examination

DELIVERY METHODS / LEARNING ACTIVITIES:

Lecture.
Discussion.
Weekly quizzes.

LIST OF TEXTBOOKS AND OTHER TEACHING AIDS:

Required:

Canadian Securities Course Volume One Textbook.
Comprehensive Notes and Test Bank of Questions.

Recommended / Optional:

None.

TESTING POLICY:

There will be ten quizzes, each of which will have ten multiple choice questions with four possible answers. The 100 quiz questions will account for 50% of the Final Grade. The total number of correct answers on the ten quizzes will be added, then divided by two, and rounded up. Any student who sits ALL ten quizzes will receive a bonus mark of 10 points on the quizzes. In other words, it is theoretically possible to receive a mark of 55/50 on the Term work.

IN ORDER TO EARN THE RIGHT TO HAVE YOUR QUIZ MARKED, YOU MUST BE PUNCTUAL FOR THAT WEEK'S QUIZ. IF YOU CANNOT WRITE A QUIZ IN THE REGULARLY SCHEDULED CLASS, YOU MUST ARRANGE TO WRITE IT PRIOR TO THE REGULAR CLASS SITTING. THERE ARE ABSOLUTELY NO MAKE-UP QUIZZES UNDER ANY CIRCUMSTANCES.

The Final Examination will consist of 100 multiple choice questions with four possible answers. It will account for 50% of the Final Grade. Any student that sits the CSI licensing Examination successfully on or before the Final Exam date, and provides written proof (e-mail is not acceptable) will receive 50/50 on the Final Examination.

Any student who chooses to sit the in-class Final Examination whose Examination grade exceeds his/her Term Work Grade, will receive 100% of their Final Grade based on the result of the in-class Final Examination.

ASSIGNMENT POLICY:

None.

EVALUATION SYSTEM:

Assessment Tool:	Description:	Outcome(s) assessed:	EES assessed:	Date / Week:	% of Final Grade:
Quiz One	10 point, multiple choice quiz	1,2	2,3,4,5,6,10,11	Week 4	5%
Quiz Two	10 point, multiple choice quiz	1,2	2,3,4,5,6,10,11	Week 5	5%
Quiz Three	10 point, multiple choice quiz	1,2	2,3,4,5,6,10,11	Week 6	5%
Quiz Four	10 point, multiple choice quiz	1,2	2,3,4,5,6,10,11	Week 7	5%
Quiz Five	10 point, multiple choice quiz	1,2	2,3,4,5,6,10,11	Week 9	5%
Quiz Six	10 point, multiple choice quiz	1,2	2,3,4,5,6,10,11	Week 10	5%
Quiz Seven	10 point, multiple choice quiz	1,2	2,3,4,5,6,10,11	Week 11	5%
Quiz Eight	10 point, multiple choice quiz	1,2	2,3,4,5,6,10,11	Week 12	5%
Quiz Nine	10 point, multiple choice quiz	1,2	2,3,4,5,6,10,11	Week 13	5%
Quiz Ten	10 point, multiple choice quiz	1,2	2,3,4,5,6,10,11	Week 14	5%
FINAL EXAM	100 points, multiple choice	1,2	2,3,4,5,6,10,11	Week 15	50%

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Any student who chooses to sit the in-class Final Examination whose Examination grade exceeds his/her Term Work Grade, will receive 100% of their Final Grade based on the result of the in-class Final Examination.

GRADING SYSTEM

The passing grade for this course is: **D**.

A+	90-100	4.0	B+	77-79	3.3	C+	67-69	2.3	D+	57-59	1.3	Below 50	F	0.0
A	86-89	4.0	B	73-76	3.0	C	63-66	2.0	D	50-56	1.0			
A-	80-85	3.7	B-	70-72	2.7	C-	60-62	1.7						

Excerpt from the College Policy on Academic Dishonesty:

The *minimal* consequence for submitting a plagiarized, purchased, contracted, or in any manner inappropriately negotiated or falsified assignment, test, essay, project, or any evaluated material will be a grade of zero on that material. To view George Brown College policies please go to www.georgebrown.ca/policies

TOPICAL OUTLINE:

Week	Topic / Task	Outcome(s)	Content / Activities	Resources
1	Introduction			
2	Introduction			
3	The Capital Market and Canadian Securities Industry	1,2,3,4,5,6,7,8,10,11	Lecture & Discussion	Chapters 1 & 2, CSC Volume One
4	The Canadian Regulatory Environment	1,2,3,4,5,6,7,8,10,11	Quiz One Lecture and Discussion	Chapter 3, CSC Volume One
5	Economic Principles and Economic Policy	1,2,3,4,5,6,7,8,10,11	Quiz Two Lecture and Discussion	Chapters 4 & 5, CSC Volume One
6	Fixed Income Securities, Features and Types	1,2,3,4,5,6,7,8,10,11	Quiz Three Lecture and Discussion	Chapter 6, CSC Volume One
7	Fixed Income Securities, Pricing and Trading	1,2,3,4,5,6,7,8,10,11	Quiz Four Lecture and Discussion	Chapter 7, CSC Volume One
8	<i>INTERSESSION WEEK</i>			
9	Equity Securities, Common and Preferred Shares	1,2,3,4,5,6,7,8,10,11	Quiz Five Lecture and Discussion	Chapter 8, CSC Volume One
10	Equity Securities, Equity Transactions	1,2,3,4,5,6,7,8,10,11	Quiz Six Lecture and Discussion	Chapter 9, CSC Volume One
11	Derivatives	1,2,3,4,5,6,7,8,10,11	Quiz Seven Lecture and Discussion	Chapter 10, CSC Volume One
12	Financing and Listing	1,2,3,4,5,6,7,8,10,11	Quiz Eight Lecture and Discussion	Chapter 11, CSC Volume One
13	Corporations and their Financial Statements	1,2,3,4,5,6,7,8,10,11	Quiz Nine Lecture and Discussion	Chapter 12, CSC Volume One
14	REVIEW	1,2,3,4,5,6,7,8,10,11	Quiz Ten Lecture and Discussion	Chapters 1 – 12, CSC Volume One
15	FINAL EXAM	3,4,5,10,11		

Please note: this schedule may change as resources and circumstances require.

For information on withdrawing from this course without academic penalty, please refer to the College Academic Calendar: <http://www.georgebrown.ca/Admin/Registr/PSCal.aspx>